



Direct Parent PLUS Denial Exemption Appeal
****Form will not be accepted without required documentation****

Dependent students may be eligible for additional unsubsidized loan amounts if the student can document exceptional circumstances which prevent a parent from borrowing a PLUS loan. Complete and return to our office by mail or fax (Whitlock CPO 59; Room 251; Richmond, KY 40475- Mailing Address/ 859.622.2019-Fax).

Please note: The parent should attempt to apply for the PLUS loan online at studentloans.gov prior to submitting this appeal. If the parent is denied by the U.S. Department of Education, this appeal is not necessary.

Student Information

First Name _____ Last Name _____
 EKU ID # _____ Date of Birth _____

Parent Information

First Name _____ Last Name _____
 SS# X X X – X X – _____ Date of Birth _____
 Relationship to Student _____ (i.e. mother/father, step-mother/father)
 Mailing Address _____
 City _____ State _____ Zip Code _____
 Phone # (____) _____ Work Phone # (____) _____

Circumstance that demonstrates need for appeal

You will need to provide documentation that authenticates the situation. (Please check the applicable situation.)

- The parent(s) is/are incarcerated (*Provide documentation showing dates of incarceration.*)
- The parent(s) has/have filed bankruptcy (*Provide a letter from bankruptcy court or notice.*)
- The parent's income is limited to public assistance or disability benefits. Please indicate which federal program the parent receives benefits from: (*Provide documentation showing dates benefits were received.*)
 - Supplemental Security Income
 - Food Stamps
 - Temporary Assistance for Needy Families (TANF)
 - Special Supplemental Nutrition Program for Women, Infants and children. (WIC)
 - Other(Low Income Based Housing-*Provide documentation from HUD Section 8 , etc...*)
- The parent(s) is/are not a U.S. Citizen or permanent resident, or is/are not able to provide evidence from the U.S. Citizenship and Immigration Service that he or she is in the U.S. for other than a temporary purpose with the intention of becoming a citizen or permanent resident. (*Provide documentation showing evidence of citizenship or permanent residence outside the U.S.*)
- Change in employment status of parent (loss of employment, from full-time status to part-time status, or major reduction in wages). (*Provide documentation from Unemployment office or HR office of place of employer.*)

Student Signature: _____ **Date:** _____

Parent(s) Signature: _____ **Date:** _____

** Please allow at least 2 weeks from date of receipt to complete your request. Also, please note that the approval of this request will not exceed an additional unsubsidized loan amount of \$4000 for freshmen/sophomore and not to exceed \$5000 for junior/seniors. Keep in mind these amounts may be lower than your PLUS eligibility.*